ARE OCTAHEDRAL RUTHENIUM(II/III) AND OSMIUM(II/III) COMPLEXES ALWAYS LOW-SPIN?

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Received May 2, 2008 Accepted June 17, 2008 Published online October 6, 2008

Dedicated to Professor Rudolf Zahradník on the occasion of his 80th birthday.

The influence of geometrical changes on the spin multiplicity of the ground states of the octahedral ruthenium(II/III) and osmium(II/III) complexes is investigated using the TD-DFT and MRCI methods. On the example of the [RuCl₆]⁴⁻ complex, we show that only after the optimisation of the molecular geometry in a solvent (using a polarised continuum model), which shortens the M-L bond lengths by ~0.2 Å, is the correct order of spin states obtained (i.e. a singlet is correctly predicted to be the ground state). On the contrary, in terms of the in vacuo optimised geometries of this negatively charged species, both the DFT and MRCI calculations predict a quintet ground state. This finding is further analysed by calculating the low- and high-spin potential energy curves corresponding to an elongation of the M-L distance, which makes it possible to predict the critical point at which the crossing of the two spin states occurs. Finally, it is complemented by the TD-DFT calculations of the lowest excited states in each spin multiplicity for a series of prototypical ligands. It is demonstrated that the calculated results correlate well with the known strengths of the ligand field. The two findings presented in this work are a small contribution to our understanding of the electronic structure and properties of the octahedral ruthenium(II/III) and osmium(II/III) complexes, which are relevant both in biomolecular and material sciences.

Keywords: Ruthenium complexes; Osmium; Spin state; Electronic spectra; Spin-orbit coupling; Solvatochromism; Spin density; DFT calculations; MRCI.

The octahedral complexes of ruthenium and osmium in their common oxidation states (II/III) have been the subject of many theoretical and experimental investigations¹⁻⁵. The growing interest in their physicochemical properties has been mostly stimulated by the recent developments in material sciences and nanotechnology^{6,7}. The vast amount of experimental information is complemented by quantum chemical calculations and by an analysis of their electronic structure, which not only leads to a better interpretation of the experimental results but also provides fundamental insight into the molecular properties of these compounds⁸⁻¹¹.

Our theoretical endeavours to understand the details of the electronic structure of the octahedral Ru(II/III) and Os(II/III) complexes were initiated by theoretical calculations of the reduction potentials of a series of $[Ru(bipy)_2X]^{2+/3+}$ complexes (where X stands for ethynyl-, 4-boronophenyl-, [(9-benzyladenin-8-yl)ethynyl]- and [4-(9-benzyl-adenin-8-yl)phenyl]-bipyridines or phenanthrolines)¹². Reasonable agreement between experiment and theory (a standard deviation of 0.13 V) allowed us to conclude that the theoretical calculations may posses some predictive power for the Ru(II) complexes and may assist in the search for new types of complexes with the desired values of their reduction potentials (e.g. candidates for electrochemical DNA labelling)¹³.

Moreover, it has been shown that the significantly poorer agreement between the calculated values of the reduction potentials for the $[OsL_6]^{2+/3+}$ complexes obtained using standard density functional methods (with the effective core potentials to account for the scalar relativistic effects) is caused by the neglect of the spin-orbit coupling (SOC), which accounts for the systematic shifts in the reduction potentials of approximately –70 mV for the ruthenium(II/III) complexes and approximately –300 mV for the osmium(II/III) complexes¹⁴. More precisely, the SOC splits the three neardegenerate Kramers' doublets (which in an ideal octahedral symmetry corresponds to the zero-field splitting of the ${}^{2}T_{2g}$ ground state) in the Os³⁺ (Ru³⁺) oxidation state and thus provides the extra stabilisation of the oxidised form when compared to the reduced form, which gives rise to a negative shift of the reduction potential. The equilibrium geometries of some representatives of the studied complexes are depicted in Fig. 1.

In this study, we present several interesting findings related to the electronic and geometrical structure of the octahedral $Ru^{2+/3+}$ and $Os^{2+/3+}$ complexes. First, the excitation spectra calculated using the TD-DFT and MRCI methods for the six model complexes and various spin multiplicities are presented, and their dependence on the ligand-field strength is discussed. The model complexes include the most prototypical $Ru(Os)^{2+/3+}$ systems (i) hexahydrates of $Ru^{2+/3+}$ and $Os^{2+/3+}$, (ii) $[M(NH_3)_6]^{2+/3+}$ and $[M(en)_3]^{2+/3+}$, (iii) $[MCl_6]^{4-/3-}$, (iv) $[M(CN)_6]^{4-/3-}$ and (v) $[M(bipy)_3]^{2+/3+}$ (in all these cases M = Os, Ru). Second, we demonstrate the effect of the molecular geometry on the energy differences between the different spin states and on the multiplicity of the ground electronic state. This effect is most profound for neg-

atively charged and weak ligand-field complexes, such as the $[MX_6]^{4-/3-}$ systems (X = F, Cl, Br), and is analysed on the example of $[MCl_6]^{4-/3-}$. We show that the inclusion of the solvent effect into the calculations via the polarised continuum model (PCM) scheme changes the multiplicity of the ground electronic state. It is an interesting example of the solvatochromism and solvatomagnetism, i.e. the effect of a solvent on the electronic and magnetic properties of molecular systems¹⁵⁻¹⁷.



FIG. 1

The equilibrium structures of three representatives of the octahedral Ru(II/III) and Os(II/III) complexes: $[M(bipy)_3]^{2+/3+}$, $[M(en)_3]^{2+/3+}$ and $[M(NH_3)_6]^{2+/3+}$. The geometry optimisation was carried out at the DFT(PBE)/def2-SVP (including effective core potentials (ECPs) for Ru and Os) level. The metal-ligand distances are approximately 2.06, 2.14 and 2.13 Å for Ru²⁺-N_{bipy}, Ru²⁺-N_{en} and Ru²⁺-N_{NH3} (2.08, 2.16 and 2.15 Å for Os²⁺-N_{bipy}, Os²⁺-N_{en} and Os²⁺-N_{NH3}) and 2.07, 2.12 and 2.11 Å for Ru³⁺-N_{bipy}, Ru³⁺-N_{en} and Ru³⁺-N_{bipy}, Os³⁺-N_{en} and Os³⁺-N_{h3}) (cf. ref.¹⁴)

COMPUTATIONAL METHODS

DFT/ECP Calculations

All the density functional theory (DFT) calculations reported in the study were carried out using the Turbomole 5.8 program¹⁸. The Perdew–Burke–Ernzerhof (PBE)¹⁹ and Becke's three-parameter hybrid (B3LYP)²⁰ functionals were used throughout. The calculations using a generalised gradient approximation (i.e. a non-hybrid functional, in our case PBE) were further expedited by expanding the Coulomb integrals in an auxiliary basis set, by a so-called resolution-of-identity (RI-J) approximation^{21,22}. All the geometry optimisations were carried out using the def2-SVP basis set²³, whereas the

single-point energies were recomputed in a larger basis set, def2-TZVP (triple-zeta valence with two polarisation functions on each atom)²³.

To enable the solvation effects, the conductor-like screening model (COSMO) method^{24,25} was used with the dielectric constant corresponding to water ($\varepsilon_r = 80$) as implemented in Turbomole 5.8.

TD-DFT Calculations

In order to interpret and predict the spectra of the studied molecules, the TD-DFT method²⁶⁻²⁹ employing the Turbomole program³⁰ was used in conjunction with the PBE and B3LYP functionals and def2-TZVP basis set.

MRCI Calculations

The multireference configuration interaction (MRCI) calculations with an *a posteriori* selection of configurations^{31–34}, and the CASSCF calculations used for generating input molecular orbitals for the subsequent MRCI were carried out using the ORCA 2.6.35 program³⁵. The TZV-DKH basis set was used, which is based on Ahlrichs' TZVP basis set (contracted to [17s11p8d3f] for Os, [12s10p5d] for Ru and [8s4p1d] for Cl)³⁶, and contracted Neese's for relativistic calculations³⁵. Spin-free relativistic effects were included in all the calculations through the DKH2 Hamiltonian.

The MRCI calculations were performed in several steps using the DFT/ECP equilibrium geometries: (i) the state-specific CASSCF (6 electrons in 5 orbitals) calculations for the singlet ground state of the Ru^{2+}/Os^{2+} complexes and the state-averaged CASSCF (5-in-5) calculations for the three lowest doublets of the Ru^{3+}/Os^{3+} complexes, carried out to generate the input molecular orbitals for the MRCI; (ii) MRCI calculations of the energy stabilisation of the singlet and doublet ground states of the Ru^{2+}/Os^{2+} and Ru^{3+}/Os^{3+} complexes, respectively, due to the SOC with higher states of the appropriate spin multiplicities (up to quintets for Ru^{2+}/Os^{2+} and sextets for Ru^{3+}/Os^{3+}), performed by means of the first-order QDPT using the mean-field spin-orbit Hamiltonian³⁷ as perturbation. The second-order Douglas-Kroll-Hess (DKH2) one-electron spinless Hamiltonian was applied to all the calculations to account for spin-free (one-component) relativistic effects³⁸⁻⁴⁰.

Threshold values for the configuration selection of 10^{-5} a.u. for the reference space ((6-in-5) for the M²⁺ and (5-in-5) for the M³⁺ complexes) and of 10^{-5} a.u. for the CI-SD space were used, which should presumably be a good approximation to the so-called second-order CI (MRCI-SD with a CAS

reference space without an *a posteriori* selection of configuration). An orbital window from -3 to 3 a.u. for the Ru complexes and from -1.9 to 2 a.u. for the Os complexes was used in the MRCI calculations.

RESULTS AND DISCUSSION

Excitation Energies in the Octahedral Ru(II/III) and Os(II/III) Complexes. TD-DFT Calculations

A series of TD-DFT calculations has been carried out to obtain the excitation energies in all of the studied complexes $([M(H_2O)_6]^{2+/3+}, [M(NH_3)_6]^{2+/3+}, [M(en)_3]^{2+/3+}, [MCl_6]^{4-/3-}, [M(CN)_6]^{4-/3-}$ and $[M(bipy)_3]^{2+/3+}, M = Os, Ru)$. The results of the TD-DFT calculations are summarised in Tables I and II. In general, the TD-DFT method is considered as a fairly cheap and in some cases a reasonably accurate method for the calculations of excitation spectra. Its drawbacks are well known and include systems and excitations with a multireference character. Additionally, the method poorly describes double (and higher) excitations from the ground-state wave function. Nevertheless, it still often captures the trends and main characteristics of the spectrum. This can be demonstrated by good agreement of the calculated value of the most intensive MLCT peak in the $[Ru(bipy)_3]^{2+}$ complex (S₇ and S₈ excitations in Table II) of 434 nm (23000 cm⁻¹) with the experimental value in an aqueous solution (452 nm, corresponding to 22120 cm^{-1})⁴¹. Furthermore, it can be seen that the density of the excited states and excitation energies strongly depend on the nature of the ligand. The smallest excitation energies, including singlet-triplet (S-T), singlet-quintet (S-5), doublet-quartet (D-Q) and doublet-sextet (D-6) gaps are found for the lowest-field ligand (Cl⁻), i.e. for the $[RuCl_6]^{4-/3-}$ and $[OsCl_6]^{4-/3-}$ complexes. In $[RuCl_6]^{4-}$ attaining the in vacuo equilibrium geometry, the DFT calculations even predict the high-spin state (quintet) to be the ground state. This is a consequence of both the weak ligand field exercised by Cl- ligands and the larger metal-ligand distances caused by the high negative charge of these complexes. The splitting of t_{2g} and e_g^* metal d-orbitals is then small enough to allow Hund's rule to prevail (and bring the electronic structures closer to the isolated ion, namely a high-spin quintet). Only after considering the equilibrium geometry in solvent (which leads to the shortening of the Ru-Cl bonds by ~0.2 Å due to the screening of the highly negative total charge of the system), is the low-spin state correctly predicted to be the ground state. The series continues with complexes containing oxygen as the donor atom (hexaaqua complexes), bipyridyl complexes (because of

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	d the lowest states of the higher spin multiplicities for the Ru^{2+}/Os^{2+} (triplets - T,	tets - 6) complexes, calculated at the DFT(PBE,B3LYP)/def2-TZVP level of theory. All		
	ground state and the lowest states of the h	uartets - Q, sextets - 6) complexes, calcula		
I ABLE I	The energy gaps between the g	quintets – 5) and $\mathrm{Ru}^{3+}/\mathrm{Os}^{3+}$ (qu	values are in cm ⁻¹	

iystem	S-T PBE	S-5 PBE	S-T B3LYP	S-5 B3LYP	System	D-Q PBE	D-6 PBE	D-Q B3LYP	D-6 B3LYP
[Ru(bipy) ₃] ²⁺	16892	38950	18091	42965	[Ru(bipy) ₃] ³⁺	23792	47039	25489	50974
RuCl ₆] ^{4–}	1838	-104	1839	-870	[RuCl ₆] ^{3–}	6633	10825	6387	9803
RuCl ₆] ^{4–} olv. geom.	6944	10420	6964	9740	[RuCl ₆] ³⁻	8827	14742	8647	13803
Ru(en) ₃] ²⁺	22426	41786	21753	39601	$[Ru(en)_3]^{3+}$	23575	43570	22345	40471
$Ru(H_2O)_6]^{2+}$	12664	20062	12560	19116	$[Ru(H_2O)_6]^{3+}$	13620	23547	13534	22280
$Ru(NH_3)_6]^{2+}$	21233	38779	20676	36911	$[Ru(NH_3)_6]^{3+}$	21812	40336	20647	37364
Ru(CN) ₆] ^{4–}	28811	64996	31904	67375	[Ru(CN) ₆] ^{3–}	37789	72560	36436	69311
$Os(bipy)_3]^{2+}$	14559	35299	15479	37515	[Os(bipy) ₃] ³⁺	21762	44719	25156	49313
OsCl ₆] ⁴⁻	3530	3063	3777	3057	[OsCl ₆] ^{3–}	8528	14174	8579	13882
$Os(en)_3]^{2+}$	25831	50499	26420	49666	$[Os(en)_{3}]^{3+}$	27896	51366	27418	49620
Os(H ₂ O) ₆] ²⁺	16594	27981	16765	27807	[Os(H ₂ O) ₆] ³⁺	16982	31074	17286	30878
Os(NH ₃) ₆] ²⁺	24409	47443	25459	46960	[Os(NH ₃) ₆] ³⁺	25860	47858	25229	46249
$Os(CN)_6]^{4-}$	35035	75084	36460	80435	$[Os(CN)_6]^{3-}$	41219	83257	45265	82351

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energies of the lowest excited states of the different spin multiplicities for the Ru^{2+}/Os^{2+} (singlets, triplets) and Ru^{3+}/Os^{3+} (dou-
s, quartets) complexes, calculated at the DFT($B3LYP$ /def2-TZVP level of theory. All values are in cm ⁻¹ , the lowest state of a
n spin multiplicity has been assigned a value of 0 ${ m cm^{-1}}$

lexes, calculated at the DFT(B3LYP)/def2-TZVP level of theory. All values are in cm^{-1} , the lowest state of z y has been assigned a value of 0 cm^{-1}	${}_{1}$ ${}_{2}$ ${}_{2}$ ${}_{3}$ ${}_{3}$ ${}_{3}$ ${}_{5}$ ${}_{5}$ ${}_{6}$ ${}_{7}$ ${}_{8}$ ${}_{8}$ ${}_{9}$ ${}_{10}$	0089 20218 20222 21671 21824 21836 23041 23047 24793 26420	8101 8135 8158 13174 13238 13264 34845 34854 34869 35182	7193 27446 28037 31912 32140 32424 33238 33336 33467 35833 33336 33467 35833 33266 33467 35833 33866 33467 35833 33866 33866 358333 3583333 358333 358333 358333 358333333 3583333 35833	9061 19095 19115 24491 24497 25328 35986 36134 36144 44870	6307 26319 26488 29429 29664 29736 31492 31546 31721 38531	5082 35090 35091 35111 35125 35134 35155 35200 35223 35888	7435 18217 18227 19823 19840 20157 21761 21763 23389 24173	$9492 \qquad 9538 \qquad 9556 \qquad 14056 \qquad 14133 \qquad 14182 \qquad 30846 \qquad 30892 \qquad 30946 \qquad 40243 \qquad \qquad$	7700 29553 29753 30978 31349 32175 32911 33824 34115 34671	2655 22658 22679 26734 28425 30128 30993 31000 40309	5119 25584 25724 30110 30159 30417 34172 34328 34679 35181 321811 3218111 3218111 321811 321811 321811 321811 3218111	
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olets, quartets) complexes, iven spin multiplicity has	System S ₁	[Ru(bipy) ₃] ²⁺ 20089	[RuCl ₆] ⁴⁻ 8101	$[Ru(en)_3]^{2+}$ 27193	$[Ru(H_2O)_6]^{2+}$ 19061	$[Ru(NH_3)_6]^{2+}$ 26307	$[Ru(CN)_6]^{4-}$ 35082	$[Os(bipy)_3]^{2+}$ 17435	$[OsCl_6]^{4-}$ 9492	$[Os(en)_3]^{2+}$ 27700	$[Os(H_2O)_6]^{2+}$ 22655	$[Os(NH_3)_6]^{2+}$ 25119	-

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low-lying, empty ligand π^* orbitals) and sp³-nitrogen containing species (NH₃, en); by far the highest excitation energies are found for the $[Os(CN)_6]^{4-/3-}$ system. When comparing Os and Ru ions, it is worth mentioning that the excitation energies are in general slightly higher for the former (with the exception of the bipyridyl complexes).

Excitation Energies in the $[MCl_6]^{4-/3-}$ Complexes (M = Ru, Os). MRCI Calculations

The MRCI calculations were carried out with the aim of further investigating the above phenomenon that was observed for the hexachloro ruthenium(II) complex, i.e. the existence of a low-lying quintet state, which becomes the ground state of the *in vacuo* (gas-phase) structure of this highly charged system. Figure 2 depicts the dependence of the energy of the three electronic states of various spin multiplicities (each of them being the lowest in the given spin multiplicity) on the molecular geometry (M–L distance). How their relative order changes when passing from the gas phase to the structure stabilised in the implicit-water environment is also demonstrated. The SOC effect on these three states is considered within a window of 15 singlet, 10 triplet and 5 quintet states (for Ru²⁺/Os²⁺), or of 15 doublet, 10 quartet and single sextet states (for Ru³⁺/Os³⁺). All the intermediate structures were obtained by an interpolation of the molecular geometries between the *in vacuo* and solvent structures.

Owing to the spin crossover, the $[RuCl_6]^{4-}$ species seems to be the most attractive. While this complex is predicted to be diamagnetic (a closed-shell d^6 system) in a water-like environment (near $\varepsilon_r = 80$) which is in agreement with the general observation for the d⁶ octahedral transition metal complexes in the 4d and 5d series⁴², the species can become hypothetically paramagnetic (an open-shell system with four unpaired d electrons) with the decreasing dielectric constant of a solvent. Hence, there is an exciting possibility to tune the magnetic properties and electronic spectrum via solvent effects (the latter are called the solvatochromic and solvatomagnetic effects). Some arguments explaining this electronic (and potentially magnetic) dependence were given in the previous section. Regarding the in vacuo equilibrium structure, it can be noticed that the three quintet states (which split into 15 sublevels due to the SOC in the C_1 symmetry) actually lie below the lowest singlet state. Besides the above mentioned effect of weak crystal field of the chloride ligands that causes the spin crossover, the existence of these fifteen states in the range of 1000–1100 cm⁻¹ can also suggest the strong vibronic couplings between two (or more) of them, which would lead to a further stabilisation of the lowest quintet state (i.e. to the pseudo Jahn–Teller effect). It is apparent for the $[RuCl_6]^{4-}$ complex that even though the SOC effect on the absolute energies of the lowest states is generally small, its manifestation is most pronounced in the *in vacuo* geometry ($\varepsilon_r = 1$ in Fig. 2). The triplet state (which splits into three sublevels due to the SOC) becomes more stable than the lowest singlet



FIG. 2

The energies of the lowest electronic states of each multiplicity with respect to the change in the geometry (M–L distances) of the $[{\rm RuCl}_6]^{4-/3-}$ and $[{\rm OsCl}_6]^{4-/3-}$ complexes. Two extreme equilibrium structures (obtained from the *in vacuo* and implicit solvent optimisations) with their bond distances (in Å) are depicted for each of the studied complexes. The coloured and grey curves correspond to the SOC-corrected and spin-free relativistic energies, respectively

state. The ordering of the studied electronic states is less complicated in the $[OsCl_6]^{4-}$ complex, which remains diamagnetic in the range of the studied geometrical changes. The monotonous contraction of the singlet-triplet and singlet-quintet gaps when passing from the 'solvated' to the 'in vacuo' geometries can be attributed to the weakening ligand field and the diminishing gap between the t_{2g} and e_g^* orbitals. Both paramagnetic $[RuCl_6]^{3-}$ and $[OsCl_6]^{3-}$ species have been stabilised in

Both paramagnetic $[\operatorname{RuCl}_6]^{3-}$ and $[\operatorname{OsCl}_6]^{3-}$ species have been stabilised in a doublet state for all the geometries. In an ideal octahedral symmetry, the SOC splits the six times (three times in the spatial and twice in the spin component) degenerate ${}^2T_{2g}$ state into three Kramers' doublets. This is reflected by the near-degeneracy of the three lowest-lying Kramers' doublets in the real, symmetry-perturbed (C_1) complexes. As a consequence, a stabilisation of the ground state by the SOC is more profound than in the $\operatorname{Ru}^{2+}/\operatorname{Os}^{2+}$ analogues. Although the three doublets remain the lowest-lying states in all the $[\operatorname{OsCl}_6]^{3-}$ geometries studied, this is not true for $[\operatorname{RuCl}_6]^{3-}$. In the *in vacuo* limit, three out of six sublevels of the zero-field split sextet lie between the doublet ground state and the first two excited doublets.

However, when discussing the small energy differences between the states of various multiplicities, one has to be aware of two limitations inherent in the calculations. First, a fair judgment would be that neither MRCI nor DFT can yield results of sufficient accuracy to assign the nature of the ground state clearly if the energy differences between the states with different multiplicities are below 0.1 eV (800 cm⁻¹), i.e. when they are comparable with the error bar of the methods⁴³. Second, it has been shown that for the smaller $Ru^{2+/3+}$ and $Os^{2+/3+}$ complexes, the PCM-like models can suffer from certain limitations, usually manifested in the incorrect description of the system's energetics^{14,44}. As a consequence, these models do not yield sufficiently accurate values of the reduction potentials or other quantities which depend on the accuracy of the calculated values of solvation energies (e.g. the reduction potential of the $[Ru(H_2O)_6]^{2+/3+}$ system)⁴⁴. As a possible solution to this deficiency, it has been proved that the addition of several explicit solvent molecules (a second solvation shell) generally improves the calculated values of the solvation energies^{14,44}. Nevertheless, for the purposes of this work (i.e. establishing the effect of solvent on molecular geometry), we consider the PCM models as a sufficiently accurate approximation.

CONCLUSIONS

In this study, we have presented the results of TD-DFT and MRCI (including spin-orbit coupling) calculations for the prototypical octahedral ruthenium(II/III) and osmium(II/III) complexes. First, we have shown that the TD-DFT excitation energies correlate well with the empirical ligand-field strength of the studied ligands (and with the experimental data when available). In the case of the hexachloro ruthenium(II) complex, this surprisingly led to an inversion of the spin multiplicity of its ground state in the *in vacuo* equilibrium geometry, and that in both the DFT and MRCI/QDPT calculations. The inclusion of the solvent effect into the calculations via the polarised continuum model (PCM) scheme then changes the equilibrium geometry of this complex and reverses the multiplicity of the ground electronic state with a closed shell singlet being correctly predicted as the ground state.

However, our findings suggest the tempting possibility of changing the electronic and magnetic properties of some of the $[MX_6]^{4-}$ systems (X = F, Cl, Br) via the solvatochromic or solvatomagnetic effects (changing the dielectric constant of the solvent). Also, it did not escape our notice that the $[RuCl_6]^{4-}$ complex in its lowest triplet and quintet states is unstable and spontaneously dissociates in the *in vacuo* geometry optimization. It invokes the idea of the existence of photochemically interesting system – exciplex. This property of hexachloro ruthenium(II) complex will be further investigated.

Last but not least, two important phenomena that have not been discussed here but have been noticed in the equilibrium structures of the studied complexes are the Jahn–Teller and pseudo-Jahn–Teller effects, which distort the molecular geometries of some of these octahedral complexes. However, this issue will be addressed elsewhere.

Supporting Information Available

The equilibrium geometries of all the studied molecules are available free of charge via doi:10.1135/cccc20081231.

We gratefully acknowledge the financial support of the Ministry of Education, Youth and Sports of the Czech Republic (research projects Z40550506 and LC512).

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